Grandmaster Repertoire

# The Berlin Defence

By

# Michael Roiz



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# Preface

I was delighted when Jacob Aagaard and John Shaw offered me the opportunity to write a book advocating the Berlin Defence, which arises after the opening moves **1.e4 e5 2.**公f3 公c6 3.逸b5 (the Ruy Lopez) **3...**公f6.

My favourite weapon against the Ruy Lopez has tended to be the Breyer System in the old main line, which I have employed in nearly forty tournament games, including several victories over strong grandmasters. However, I always had the feeling that the Berlin would also perfectly suit my playing style: it offers Black solidity along with the flexibility to choose between various set-ups. Also, since I was seconding top players such as GM Pavel Eljanov for many years, I had a chance to analyse various Berlin lines in depth, and I will be glad to share my experience and understanding of this opening with the readers.

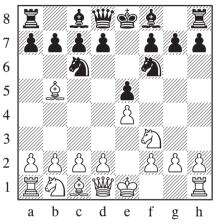
The Berlin was regarded as something of a second-tier response to the Ruy Lopez for a long time, but that all changed when Vladimir Kramnik famously employed it in the 2000 World Championship match to neutralize the fearsome opening preparation of Garry Kasparov, eventually going on to win the title. Since then, the Berlin has become Black's most popular and reliable response to the Ruy Lopez at the highest levels, and almost all of the world's leading players have incorporated it into their repertoires. In the following pages you can find a breakdown of the chapter structure and the main theoretical battlegrounds of the Berlin, including: the famous Berlin Endgame; the relatively quiet positions with a symmetrical pawn structure (mostly after 5.\mathbb{B}e1); and strategically complex middlegame positions which can arise after 4.d3.

The aim of this book is to enable players at various levels to feel comfortable enough to use the Berlin successfully in competitive play. Despite the Berlin's reputation for solidity, this book contains a whole host of tactical and other remarkable ideas, many of which arise at a surprisingly early stage. I hope that every reader will enjoy the book and find success with the Berlin.

Michael Roiz Rishon LeZion, July 2021

# Introduction

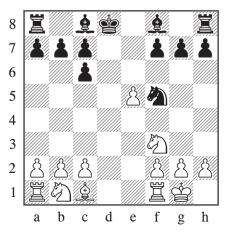
As stated in the Preface, our subject arises after **1.e4 e5 2. 2f3 2c6 3. 2b5**, the Ruy Lopez, when **3... 2f6** is the move which defines the Berlin Defence:



With the last move, Black develops the knight to its most natural square and attacks the e4-pawn. Starting from the above position, this book offers a complete repertoire for Black. The material spans nine chapters, divided into four main sections as follows.

#### **Berlin Endgame**

We will start by jumping in at the deep end, analysing White's most theoretically critical approach. This is in stark contrast to my previous books on the Nimzo-Indian and Queen's Indian Defences, both of which started by covering White's sidelines before working up towards the critical main lines. The Berlin differs from the above two defences, in that the viability of Black's entire concept essentially rests on the evaluation of one critical position, arising after the following well-known sequence: **1.e4 e5 2.**②f3 ②c6 3.象b5 ②f6 4.0–0 ③xe4 5.d4 ③d6 6.象xc6 dxc6 7.dxe5 ③f5 8.營xd8† ④xd8



If you are unable to get comfortable with the black side of this position, then the Berlin is not for you. If, on the other hand, you can become proficient from this position, you need never fear the Ruy Lopez again. (True, White has some other ways of playing, as mentioned below, but none of them are as theoretically challenging.)

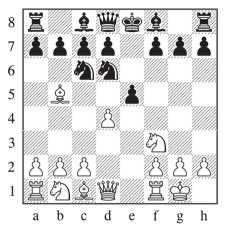
By the way, I have described the above position as the "Berlin Endgame" because it seems to be the most commonly accepted term, but it would be more accurate to think of it as a queenless middlegame. There are many pieces remaining on the board, while the unbalanced pawn structure and Black's slightly exposed king ensure that there will be no shortage of dynamic possibilities.

As tournament practice shows, White players often seem to overestimate the value of the kingside pawn majority, and in many games Black's doubled pawns on the queenside have proved the decisive factor. Chapter 1 will briefly cover White's rare 9th moves, before focusing on the natural and popular developing move **9.** Ca. The modern continuations **9.** Ed1<sup>†</sup> and **9.** h3!? will be analysed in Chapters 2 and 3 respectively.

#### Avoiding the Endgame

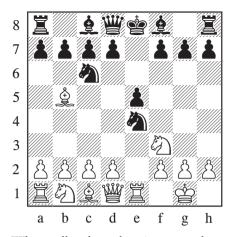
The book's second section starts in the position after **1.e4 e5 2.** 26 **3.** 26 **6 3.** 26 **6 4.0–0** 24 **xe4**, and deals with all of the plausible ways in which White may deviate from the Berlin Endgame sequence shown earlier.

The position after **5.d4 Dd6** provides the starting point for Chapter 4:



White has several gambit or pseudo-gambit tries, such as 6.彙g5, 6.彙a4 and 6.dxe5 ②xb5 7.a4. Finally, after 6.彙xc6 dxc6 7.dxe5 ②f5 White can avoid the queen exchange with 8.營e2.

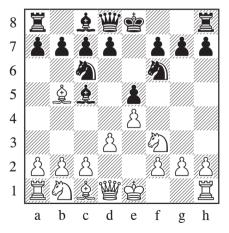
Chapter 5 continues the theme by analysing **5.**<sup>w</sup>**e2** followed by the more theoretically significant **5.**<sup>z</sup>**e1**, which reaches the following position:



White will pick up the e5-pawn and attempt to generate some initiative in the ensuing position with a symmetrical pawn structure. Black is in good shape though, as we will see.

#### **Closed System**

After the opening moves **1.e4 e5 2. (a)f3 (c)6 3. (c)65 (c)67 (c)6** 



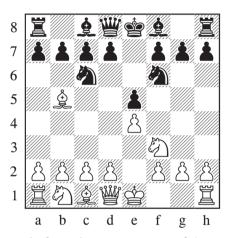
Given the chance, I generally want to follow this active bishop development with ...<sup>(2)</sup>d4 to trade a pair of knights.

**5.c3** is the most popular continuation, and is analysed in Chapter 6.

The other main possibilities are 5.公c3, 5.公bd2, 5.0–0 and 5.皇xc6, all of which can be found in Chapter 7.

#### Other Lines

The final section deals with White's remaining possibilities after **1.e4 e5 2.**②f3 ②c6 3.堂b5 ②f6:



Aside from the main options of 4.0–0 and 4.d3, White does not have all that many reasonable moves available:

**4. (AC) c3** is a significant option, transposing to the Four Knights Game. This will be the sole focus of Chapter 8.

Finally, 4.營e2, 4.黛xc6 and 4.d4 will be covered in Chapter 9.

\*\*\*

Now that we know what lies ahead, I invite you to turn the page and we'll get started.



# Avoiding the Endgame



5.\extrm{e2 & 5.\extrm{e1}}

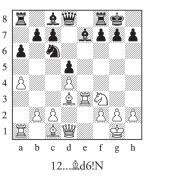
# Variation Index

1.e4 e5 2.包f3 包c6 3.鼻b5 包f6 4.0-0

4...Øxe4

A) 5.鬯e2	94
B) 5.邕e1 创d6	97
<b>B1) 6.a4</b>	97
B2) 6.创xe5 鼻e7	99
B21) 7.皇d3	101
B22) 7.鼻f1 ②xe5 8.邕xe5 0–0	103
B221) 9.42c3	103
B222) 9.d4 鼻f6 10.邕e1 幻f5 11.d5 邕e8	108
B2221) 12.∕∆d2	109
B2222) 12.\\\\\.\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	110





B21) after 12. 2e4

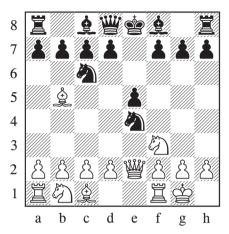


B221) after 17.奠g2



**1.e4 e5 2.**②f3 ②c6 3.흹b5 ②f6 4.0–0 ②xe4 Aside from the main line of 5.d4, White has

two credible options: A) 5.<sup>w</sup>e2 and B) 5.<sup>E</sup>e1.



A) 5.₩e2

This is one of a few minor possibilities, which has been known for more than 150 years! White is aiming to regain the pawn without giving up the light-squared bishop.

#### 5....<sup>2</sup>g5!?

A somewhat unusual retreat that invites interesting complications.

5...<sup> $\circ$ </sup>d6 is the most common continuation by far.

#### 6.<sup>②</sup>xg5

The only way to fight for the initiative.

6.彙xc6?! is too simplistic, and 6...dxc6 7.營xe5† ②e6 8.罩e1 奠e7 9.③c3 0–0 10.d3 b6 gave Black a slight edge due to the bishop pair in E. Hansen – Dominguez Perez, Internet (rapid) 2018.

Another unfortunate choice for White is: 6.①xe5?! ②d4! 7.땔c4?

7.營d3N is the lesser evil, but 7...创ge6 8.c3 ②xb5 9.營xb5 兔e7 10.d4 c6 11.營e2 0-0 offers Black some edge due to the bishop pair.

In I. Popov – Santos Ruiz, Moscow (blitz) 2019, Black could have refuted White's play with:



7...②ge6!N

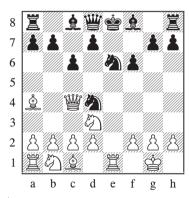
White will pay a high price for the loss of harmony. For instance:

8.<u>\$</u>a4

8.c3? ②c2 wins.

8...f6

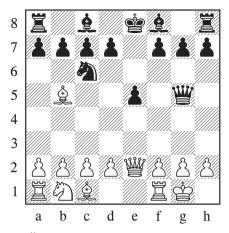
8...b5!? is a good alternative, when 9.彙xb5 骂b8 10.②c3 彙d6 gives Black an overwhelming initiative. 9.②d3 c6 10.骂e1



10.... \$f7!

Black will combine rapid development with threats to win material, and White's problems are already insurmountable.

#### 6...₩xg5



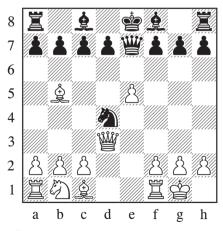
#### 7.d4 ₩e7 8.dxe5

White has an obvious lead in development but the vulnerability of the e5-pawn provides Black with sufficient counterplay.

#### 8...🖄d4

Another interesting option is 8...a6!? 9.\$a4 (9.\$xc6?! dxc6 10.\$d2 was an inferior choice for White in Naroditsky – Kramnik, London [rapid] 2014, when 10...\$f5!N 11.\$b3 0–0–0 would have favoured Black) 9...b5 10.\$b3 \$b7 with a complex, double-edged struggle in Arreaga – Jean, corr. 2017.

#### 9.₩d3



9....<sup>₩</sup>xe5

Bravely accepting the challenge!

#### 10.\2c3

This natural developing move has been tested in a few correspondence games.

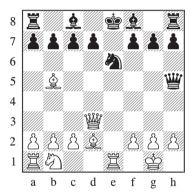
The only move to have been tested in over-theboard play is:

10.奠d2 ②e6

Just as in the main line below, the knight performs a crucial role in blocking the e-file. 11. 閂e1 凹h5!

The queen is well placed here: it cannot be attacked by White's pieces and it keeps an eye on the h2-pawn.

11...增d6?! feels awkward, and 12.增h3 違e7 13.違d3 c6 14.②c3 left Black under strong pressure in T. Petrosian – Minasian, Yerevan 2006.



12.@c3N

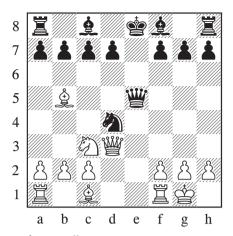
White needs to improve on 12.\overline2c4?!, as played in Laine – Nacer, email 2012, when 12...c6!N 13.\overline2xe6 dxe6 14.\overline3g3 \overline3g6 15.\overline2c7 \overline2c5 would have left White with no real compensation.

12...c6 13.ĝf4

13.\overline2c4 \overline2ee7 leaves White with no compensation at all.

13... ĝe7 14. ĝd6 ĝxd6 15. <sup>w</sup>xd6 <sup>w</sup>h4!

The queen will drop back to e7 next, and White will have to work hard to prove compensation for the pawn.



10...ĝc5 11.₩d1!

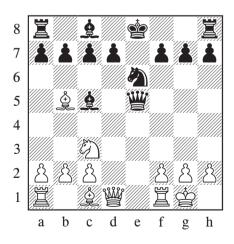
This is the only way of maintaining the tension.

After 11. 2e3?! 0–0 12. Zad1 2e6 Black manages to fully consolidate.

#### 11....@e6!

A precise defensive move.

Instead, after 11...0–0? 12.岂e1 Black struggles to find a good square for the queen, as 12...曾f6 13.心e4 營b6 14.흹d3 offers White a powerful attack.



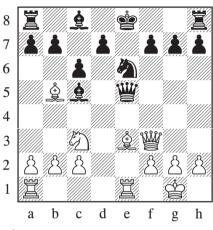
#### 12.\extstyle="border: 12.12;">12.\extstyle=1 \extstyle=1 & d4 & 13.\extstyle=1 & d4 & 13

Obviously White has to avoid the trade of queens.

#### 13...c6 14.ge3

After 14.@d3?! @e7 15.@e4 d5 16.@g3 g6 the activity of White's pieces is neutralized, so Black is simply a pawn up.

#### 14...**₩e**5



#### 15.覍d3?!

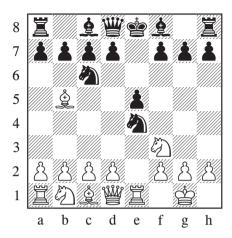
#### 15.... <u></u> 健e7!

A cold-blooded move. White cannot make special use of the opposition along the e-file, and must settle for regaining the pawn.

#### 16.ዿxa7 ₩f6 17.₩e3 d5

Black enjoyed the superior pawn structure and piece coordination in Trofimov – Tyulenko, email 2014.

B) 5.\[e1]



White intends to win back the pawn in simple fashion, hoping for active piece play.

#### 5...�d6

We will analyse the sideline **B1**) **6.44** followed by the normal **B2**) **6.** (2) **xe5**.

6. 1 2e7 has no independent value, as White has nothing better than transposing to the main line with  $7.2 \times 5$  – see variation B22.

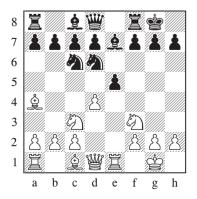
#### 6.<u>\$</u>a4

This is a rare move which, like 6.a4, can be played in connection with a d2-d4 gambit.

6...\$e7 7.2c3

7.<sup>1</sup>/<sub>2</sub>xe5 <sup>1</sup>/<sub>2</sub>xe5 8.<sup>2</sup>/<sub>2</sub>xe5 transposes to 7.<sup>1</sup>/<sub>2</sub>a4 <sup>1</sup>/<sub>2</sub>xe5 8.<sup>2</sup>/<sub>2</sub>xe5 in the notes to variation B2 below.

7...0-0 8.d4



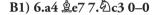
8...e4

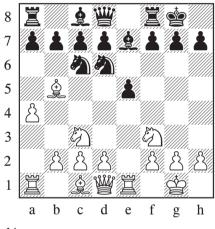
Simple and safe.

8...Oxd4N leads to a more complex type of position, when 9.Oxe5 Oe6 10.Od5 offers White long-term compensation for the pawn, in view of Black's difficulties with developing the c8-bishop.

9. 2xe4 2xe4 10. Exe4 d5 11. Ee1 &d6 12.c3

Black had fully equalized in Solodovnichenko – Malakhov, Chartres 2017.





**8.d**4

This is the only way of justifying the artificial 6.a4.

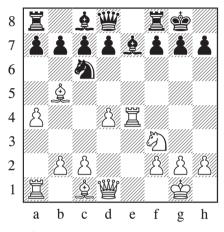
8. 2 xe5N is met by 8... 2 xe5 9. E xe5 c6 10. 2 f1 2 e8 followed by ... d6-d5, reaching a normal type of position where a2-a4 is hardly the best use of a tempo.

#### 8...e4

A safe choice. Black doesn't mind returning the pawn in order to solve any development problems.

8...exd4 9.أ.d5 gives White plenty of compensation, for instance: 9.... Ee8 10. 皇f4 違f8 (10...a6?! 11. 皇d3 b6 12.h4! 皇b7 13. ②g5 left Black's king in big danger in Steinberg – Chen Qi, St Petersburg [blitz] 2018) 11. 王xe8 公xe8 12. 公g5 White had a promising initiative for the pawn in Osmanodja – Vrolijk, Internet (rapid) 2019.

#### 9. 2xe4 2xe4 10. 2xe4



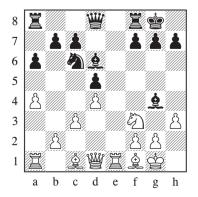
#### 10...a6!

The most precise move. It makes sense to chase the bishop away before playing ...d7-d5.

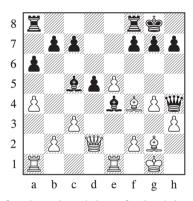
After 10...d5 11.¤e1 \$\overline{2}g4 12.\$\overline{xc6} bxc6 13.\$\overline{W}d3 \$\overline{x}rf3 14.\$\overline{W}xrf3 \$\overline{d}r6 15.\$\overline{2}rf4 White obtained a slight edge due to his control over the e-file in Dubov – McShane, Netanya 2019.

#### 11.**&d**3

White also achieves nothing special with: 11.\$f1 d5 12.\$Ee1 \$g4 13.c3 \$d6 14.h3

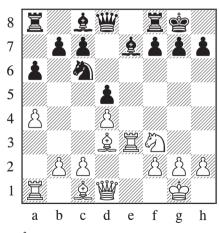


In Mamedov – Kozak, Moscow 2020, the simple 14... 逸h5!N would have been best. Presumably Kozak was concerned about 15.g4 逸g6 16. ②e5, but Black's chances are by no means worse after: 16... 濟h4 17. 逸g2 (17. 逸f4 ②xe5 18.dxe5 逸c5 19. 營d2 逸e4 offers Black good prospects for counterplay.) 17... 逸e4 18. 逸f4 ③xe5 19.dxe5 逸c5 20. 營d2



20...f5! The vulnerability of White's king starts to tell.

#### 11...d5 12.邕e3



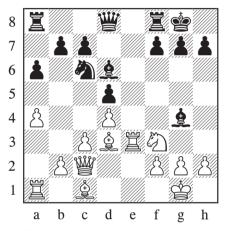
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This innovation is directed against the drawbacks of White's set-up. In particular, the rook is slightly misplaced on e3.

12...2b4 has been played in the existing games, but 13. $\pm$ f1  $\pm$ f5 14.c3! 2c6 (14...2c2? is refuted by 15. $\pm$ e5!) 15. $\pm$ e1  $\pm$ d7 16. $\pm$ f4 yielded White slight yet long-lasting pressure in Kobo – Roiz, Tiberias 2016.

#### 13.c3 **ĝg**4 14.₩c2

14.h3 ≜h5 15.<sup>1</sup>/<sub>2</sub>c2 is safer, although 15...≜g6 is no problem for Black.



#### 14...ĝf4!

This is the logical continuation of Black's previous play.

#### 15.邕e1 皇xc1 16.營xc1 皇xf3 17.gxf3 包e7

White has enough activity to compensate for the fractured kingside pawn structure, but Black has no cause for dissatisfaction.

# Abridged Variation Index

The Variation Index in the book is 5 pages long. Below is an abridged version giving just the main variations, not the sub-variations.

### Chapter 1

1.e4 e5 2.句f3 包c6 3.兔b5 包f6 4.0-0 包xe4 5.d4 包d6 6.兔xc6 dxc6 7.dxe5 包f5 8.鬯xd8† 호xd8 9.包c3 兔d7

A) 10.b3 *14*B) 10.\Zd1 *18*C) 10.h3 *30*

### Chapter 2

1.e4 e5 2.包f3 包c6 3.奧b5 包f6 4.0-0 包xe4 5.d4 包d6 6.奧xc6 dxc6 7.dxe5 包f5 8.豐xd8† 查xd8 9.邕d1† 查e8 10.包c3 鼻e7

A) 11.b3 40 B) 11.2 e2 43 C) 11.2 g5 46

### Chapter 3

1.e4 e5 2.췬f3 친c6 3.遑b5 친f6 4.0-0 친xe4 5.d4 친d6 6.遑xc6 dxc6 7.dxe5 친f5 8.뺄xd8† 한xd8 9.h3!? 흹e7

A) 10.\dagadlt d1 † 54

B) 10.g4 56

C) 10.42c3 60

### Chapter 4

1.e4 e5 2.句f3 ②c6 3.遑b5 ②f6 4.0-0 ②xe4 5.d4 ③d6

A) 6.\$g5 78 B) 6.\$a4 80 C) 6.dxe5 85 D) 6.\$xc6 90

## Chapter 5

1.e4 e5 2.විf3 විc6 3.දූb5 විf6 4.0-0 විxe4

A) 5.≝e2 *94* B) 5.≅e1 *97* 

# Chapter 6

1.e4 e5 2.විf3 විc6 3.ඉ.b5 විf6 4.d3 ඉ.c5 5.c3 0–0

A) 6.ዿੈxc6 118 B) 6.ዿੈg5 122 C) 6.0−0 126

## Chapter 7

## 1.e4 e5 2.췬f3 친c6 3.兔b5 친f6 4.d3 兔c5

A) 5.42c3 142 B) 5.42bd2 144 C) 5.0-0 148 D) 5.2xc6 154

# Chapter 8

1.e4 e5 2.විf3 විc6 3. දුb5 විf6 4. විc3 විd4

A) 5. (2) xe5 180 B) 5. (2) xd4 183 C) 5.0–0!? 185 D) 5. (2) c4 189 E) 5. (2) a4 197

### Chapter 9

1.e4 e5 2.包f3 包c6 3.臭b5 包f6

A) 4.<sup>₩</sup>e2 *208* B) 4.<sup>‡</sup>xc6 *213* C) 4.d4 *216*